



**Sustainable Water  
Integrated Management (SWIM) -  
Support Mechanism**



Project funded by  
the European Union

*Water is too precious to waste*

**The EU funded SWIM-SM: developing capacity for Sustainable and Integrated Wastewater Treatment and Reuse**

*Online Course on Natural Treatment Systems: Comparative Analysis – Natural Vs Conventional Systems*

# Comparative analysis natural versus conventional systems



SWIM OLC  
on  
Natural Treatment Systems



# Compare technologies: tools

- LCA: Life Cycle Assessment: To compile and evaluate the environmental impacts of a product over its entire life cycle. (ISO process)
- MCA: Multi-Criteria Analysis: to evaluate the overall environmental consequences of an alternative, taking into account multiple criteria and their relative weights.

# LCA <-> MCA

|                                | LCA  | MCA   |
|--------------------------------|--|---|
| Purpose of the analysis        | To compile and evaluate the environmental impacts of a product over its entire life cycle.   | To evaluate the overall environmental consequences of an alternative, taking into account multiple criteria and their relative weights.                             |
| Procedure                      | Goal and scope definition, inventory analysis, impact assessment and interpretation.   | Establishing the decision context, identifying criteria, scoring, weighting deriving an overall value, examining the results and conducting a sensitivity analysis. |
| Final output of the instrument | A limited set of environmental scores for a number of impact categories.   | One environmental score based on an aggregation of criteria.  |
| Strengths of the instrument    | Avoids problem shifting to other issues or areas, comprehensiveness through 'cradle-to-grave' approach.  | Possibility of weighting the criteria, use of criteria with their own dimensions, single score for overall evaluation.  |
| Weaknesses of the instrument   | LCA is a complex process and requires considerable time and data input; dependence of normalisation on reference scenario; difficulties in interpreting the results. | MCA usually only takes a part of the production chain into account; relies on input from experts and stakeholders; weighting is subjective.                         |

Assessing environmental performance by combining life cycle assessment, multi-criteria analysis and environmental performance indicators  
 B.G. Hermann, C. Kroeze, W. Jawjit, Journal of Cleaner Production 15 (2007) 1787-1796

# How to compare technologies?: selection criteria

Nor complete, but like:

1. Average, or typical, efficiency and performance of the technology
2. Reliability of the technology
3. Institutional manageability
4. Financial sustainability
5. Application in reuse schemes
6. Regulatory determinants

# 1. Efficiency and performance of the technology indicators

| System  | Average removal efficiency |         |        |             |             |             |                         | Land requirements (m <sup>2</sup> /inhab) | Power for aeration        |                                 | Sludge volume  |   |
|---|----------------------------|---------|--------|-------------|-------------|-------------|-------------------------|---|---------------------------|---------------------------------|--|---|
|   | BOD <sub>5</sub> (%)       | COD (%) | SS (%) | Ammonia (%) | Total N (%) | Total P (%) | Thermo coli (log units) |   | Installed power (W/inhab) | Consumed power (kWh/inhab.year) | Liquid sludge to be treated (L <sup>3</sup> /inhab.year) | Dewatered sludge to be disposed of (L <sup>3</sup> /inhab.year) |
| Primary treatment (septic tanks)                    | 30-35                      | 25-35   | 55-65  | < 30        | < 30        | < 35        | < 1                     | 0.03 - 0.05                               | 0                         | 0                               | 110 - 360  | 15 - 35   |
| Conventional primary treatment                      | 30-35                      | 25-35   | 55-65  | < 30        | < 30        | < 35        | < 1                     | 0.02 - 0.04                               | 0                         | 0                               | 330 - 730  | 15 - 40   |
| Advanced primary treatment (chemically enhanced)    | 45-80                      | 55-75   | 60-90  | < 30        | < 30        | 75-90       | ≠ 1                     | 0.04 - 0.06                               | 0                         | 0                               | 730 - 2500   | 40 - 110  |
| Facultative pond                                    | 75-85                      | 65-80   | 70-80  | < 50        | < 60        | < 35        | 1-2                     | 2.0 - 4.0                                 | 0                         | 0                               | 35 - 90  | 15 - 30   |
| Anaerobic pond + facultative pond                   | 75-85                      | 65-80   | 70-80  | < 50        | < 60        | < 35        | 1-2                     | 1.2 - 3.0                                 | 0                         | 0                               | 55 - 160   | 20 - 60   |
| Facultative aerated lagoon                          | 75-85                      | 65-80   | 70-80  | < 30        | < 30        | < 35        | 1-2                     | 0.25 - 0.5                                | 1.2 - 2.0                 | 11 - 18                         | 30 - 220   | 7 - 30  |
| Complete-mix aerated lagoon + sedimentation pond    | 75-85                      | 65-80   | 80-87  | < 30        | < 30        | < 35        | 1-2                     | 0.2 - 0.4                                 | 1.8 - 2.5                 | 16 - 22                         | 55 - 360   | 10 - 35   |
| Anaerobic pond + facult. pond + maturation pond     | 80-85                      | 70-83   | 73-83  | 50-65       | 50-65       | > 50        | 3-5                     | 3.0 - 5.0                                 | 0                         | 0                               | 55 - 160   | 20 - 60   |
| Anaerobic pond + facultative pond + high rate pond  | 80-85                      | 70-83   | 73-83  | 65-85       | 75-90       | 50-60       | 3-4                     | 2.0 - 3.5                                 | < 0.3                     | < 2                             | 55 - 160   | 20 - 60   |
| Anaerobic pond - facultative pond + algae removal   | 85-90                      | 75-83   | > 90   | < 50        | < 60        | < 35        | 3-4                     | 1.7 - 3.2                                 | 0                         | 0                               | 60 - 190   | 25 - 70   |
| Slow rate treatment                                 | 90-99                      | 85-95   | > 93   | > 80        | > 75        | > 85        | 3-5                     | 10 - 50                                   | 0                         | 0                               | -  | -   |
| Rapid infiltration                                  | 85-98                      | 80-93   | > 93   | > 65        | > 65        | > 50        | 4-5                     | 1.0 - 6.0                                 | 0                         | 0                               | -  | -   |
| Overland flow                                       | 80-90                      | 75-85   | 80-93  | 35-65       | < 65        | < 35        | 2-3                     | 2.0 - 3.5                                 | 0                         | 0                               | -  | -   |
| Constructed wetlands                                | 80-90                      | 75-85   | 87-93  | < 50        | < 60        | < 35        | 3-4                     | 3.0 - 5.0                                 | 0                         | 0                               | -  | -   |
| Septic tank + anaerobic filter                      | 80-85                      | 70-80   | 80-90  | < 45        | < 60        | < 35        | 1-2                     | 0.2 - 0.35                                | 0                         | 0                               | 180 - 1000   | 25 - 50   |
| Septic tank + infiltration                          | 90-98                      | 85-95   | > 93   | > 65        | > 65        | > 50        | 4-5                     | 1.0 - 1.5                                 | 0                         | 0                               | 110 - 360  | 15 - 35   |
| UASB reactor  | 60-75                      | 55-70   | 65-80  | < 50        | < 60        | < 35        | 1-2                     | 0.03 - 0.10                               | 0                         | 0                               | 70 - 220   | 10 - 35   |
| UASB + activated sludge                             | 83-93                      | 75-88   | 87-93  | 50-85       | < 60        | < 35        | 1-2                     | 0.08 - 0.2                                | 1.8 - 3.5                 | 14 - 20                         | 180 - 400  | 15 - 60   |
| UASB + submerged aerated bio filter                 | 83-93                      | 75-88   | 87-93  | 50-85       | < 60        | < 35        | 1-2                     | 0.05 - 0.15                               | 1.8 - 3.5                 | 14 - 20                         | 180 - 400  | 15 - 55   |
| UASB + anaerobic filter                             | 75-87                      | 70-80   | 80-90  | < 50        | < 60        | < 35        | 1-2                     | 0.05 - 0.15                               | 0                         | 0                               | 150 - 300  | 10 - 50   |
| UASB + high rate trickling filter                   | 80-93                      | 73-88   | 87-93  | < 50        | < 60        | < 35        | 1-2                     | 0.1 - 0.2                                 | 0                         | 0                               | 180 - 400  | 15 - 55   |
| UASB + dissolved-air flotation                      | 83-93                      | 83-90   | 90-97  | < 30        | < 30        | 75-88       | 1-2                     | 0.05 - 0.15                               | 1.0 - 1.5                 | 8 - 12                          | 300 - 470  | 25 - 75   |
| UASB + maturation ponds                             | 77-87                      | 70-83   | 73-83  | 50-65       | 50-65       | > 50        | 3-5                     | 1.5 - 2.5                                 | 0                         | 0                               | 150 - 250  | 10 - 35   |
| UASB + facultative aerated pond                     | 75-85                      | 65-80   | 70-80  | < 30        | < 30        | < 35        | 1-2                     | 0.15 - 0.3                                | 0.3 - 0.6                 | 2 - 5                           | 150 - 300  | 15 - 50   |
| UASB + compl. mix. aerated lagoon + sedim. pond     | 75-85                      | 65-80   | 80-87  | < 30        | < 30        | < 35        | 1-2                     | 0.1 - 0.3                                 | 0.5 - 0.9                 | 4 - 8                           | 150 - 300  | 15 - 50   |
| UASB + overland flow                                | 77-90                      | 70-85   | 80-93  | 35-65       | < 65        | < 35        | 2-3                     | 1.5 - 3.0                                 | 0                         | 0                               | 70 - 220   | 10 - 35   |
| Conventional activated sludge                       | 85-93                      | 80-90   | 87-93  | > 80        | < 60        | < 35        | 1-2                     | 0.12 - 0.25                               | 2.5 - 4.5                 | 18 - 26                         | 1100 - 3000  | 35 - 90   |
| Activated sludge - extended aeration                | 90-97                      | 83-93   | 87-93  | > 80        | < 60        | < 35        | 1-2                     | 0.12 - 0.25                               | 3.5 - 5.5                 | 20 - 35                         | 1200 - 2000  | 40 - 105  |
| Convent. activated sludge with biological N removal | 85-93                      | 80-90   | 87-93  | > 80        | > 75        | < 35        | 1-2                     | 0.12 - 0.25                               | 2.2 - 4.2                 | 15 - 22                         | 1100 - 3000  | 35 - 90   |
| Convent. activated sludge with biolog. N/P removal  | 85-93                      | 80-90   | 87-93  | > 80        | > 75        | 75-88       | 1-2                     | 0.12 - 0.25                               | 2.2 - 4.2                 | 15 - 22                         | 1100 - 3000  | 35 - 90   |
| Conventional activated sludge + tertiary filtration | 93-98                      | 90-95   | 93-97  | > 80        | < 60        | 50-60       | 3-5                     | 0.15 - 0.30                               | 2.5 - 4.5                 | 18 - 26                         | 1200 - 3100  | 40 - 100  |
| Low rate trickling filter                           | 85-93                      | 80-90   | 87-93  | 65-85       | < 60        | < 35        | 1-2                     | 0.15 - 0.3                                | 0                         | 0                               | 360 - 1100   | 35 - 80   |
| High rate trickling filter                          | 80-90                      | 70-87   | 87-93  | < 50        | < 60        | < 35        | 1-2                     | 0.12 - 0.25                               | 0                         | 0                               | 500 - 1900   | 35 - 80   |
| Submerged aerated biofilter with nitrification      | 88-95                      | 83-90   | 87-93  | > 80        | < 60        | < 35        | 1-2                     | 0.1 - 0.15                                | 2.5 - 4.5                 | 18 - 26                         | 1100 - 3000  | 35 - 90   |
| Submerged aerated biofilter with biolog. N removal  | 88-95                      | 83-90   | 87-93  | > 80        | > 75        | < 35        | 1-2                     | 0.1 - 0.15                                | 2.2 - 4.2                 | 15 - 22                         | 11000 - 3000   | 35 - 90   |
| Rotating biological contactor                       | 88-95                      | 83-90   | 87-93  | 65-85       | < 60        | < 35        | 1-2                     | 0.1 - 0.2                                 | 0                         | 0                               | 330 - 1500   | 20 - 75   |



## 2. Reliability of the technology indicators

- Chemicals needed
- Meeting of quality standards
- Stable and resilient against shock loading
- Power supply (aerobic treatment performance)
- Easy to repair and to restart
- Spare parts
- Selling biogas
- Operational complexity: trained personnel

### 3. Institutional manageability indicators

- Governmental agencies adequately equipped for wastewater management.
- Technical and managerial expertise/ education.
- Access to a local network of research for scientific support and problem solving.
- Devoted and experienced operators and technicians.

## 4. Financial sustainability indicators

- Availability of funds provided by the polluter
- Resource recovery
- Ultimate goal should be full cost recovery, but temporarily
  - cross-subsidisation
  - revolving funds
  - phased investment programmes

# Costs

## 1. Investment costs:

- cost of the land,
- groundwork,
- electromechanical equipment and construction

## 2. Recurring costs:

- loans (interest and principal),
- costs for personnel,
- energy and other utilities,
- laboratories,
- repair,
- sludge disposal.



Vary from country to country, as well as in time.



# Operation and maintenance costs

- Essential part of wastewater management and affects technology selection
- On an annual basis, the O&M expenditures of treatment and sewage collection are typically in the same order of magnitude as the depreciation on the capital investment



# Operation and maintenance requires

- Careful exhaustive planning.
- Qualified and trained staff devoted to its assignment.
- An extensive and operational system providing spare parts and O&M utilities.
- A maintenance and repair schedule, crew and facility.
- A management atmosphere that aims at ensuring a reliable service with a minimum of interruptions.
- A substantial annual budget that is uniquely devoted to O&M and service improvement.
- Preventive instead of corrective.

## 5. Application in reuse schemes indicators

- Resource recovery (environmental as well as to financial sustainability)
  - Sludge
  - Biogas
  - Water
- Esthetic / natural value (wetland)



## 6. Regulatory determinants

- Discharge standards (determined by technique)
- Enforcement

# Complete overview of possible indicators (Adapted from Balkema, source: Balkema, 2003)

## **Economical indicators:**

- 1 Costs
- 2 Labor
- 3 Affordability
- 4 Use of surface area
- 5 Financial risk exposure

## **Environmental indicators:**

- 6 Accumulation
- 7 Biodiversity / land fertility
- 8 Desiccation
- 9 Export of problems in time & space
- 10 Extraction
- 11 Integration in natural cycles
- 12 Land area required / space
- 13 Odor / noise / insects / visual
- 14 Optimal resource utilization
- 15 Resources reuse
- 16 Water reuse
- 17 Nutrients reuse
- 18 Energy reuse
- 19 Raw materials
- 20 Pathogen removal / health
- 21 Pollution prevention
- 22 BOD / COD Emissions
- 23 Emissions of nutrients x
- 24 Emissions of Heavy metals
- 25 Others emissions
- 26 Sludge / waste production
- 27 Use of chemicals
- 28 CSO
- 29 Discharge

- 30 Energy use
- 31 Gas produced
- 32 Soil conditioner
- 33 Contribution to eutrophication
- 34 Contribution to acidification
- 35 Contribution to global warming
- 36 Drinking water
- 37 Household water
- 38 Construction materials
- 39 Micropollutants
- 40 Impact on air
- **Technical indicators:**
- 41 Durability
- 42 Ease of construction / low tech
- 43 Endure shock loads/seasonal effects
- 44 Flexibility / adaptability
- 45 Maintenance
- 46 Reliability / security
- 47 Small scale / onsite / local solution
- 48 Robustness
- 49 waste
- 50 Abuse of system
- 51 Possibility to use local competence for construction and O&M
- 52 Ease of system monitoring
- 53 Compatibility with existing systems
- 54 Quality of supplied water
- **Health and Hygiene**
- 56 Protection of water resources
- 57 Direct transmission of infection
- 58 Indirect transmission of infection

- 59 Reliability / security
- 60 Spreading of toxic compounds
- 61 Risk of exposure to hazardous substances

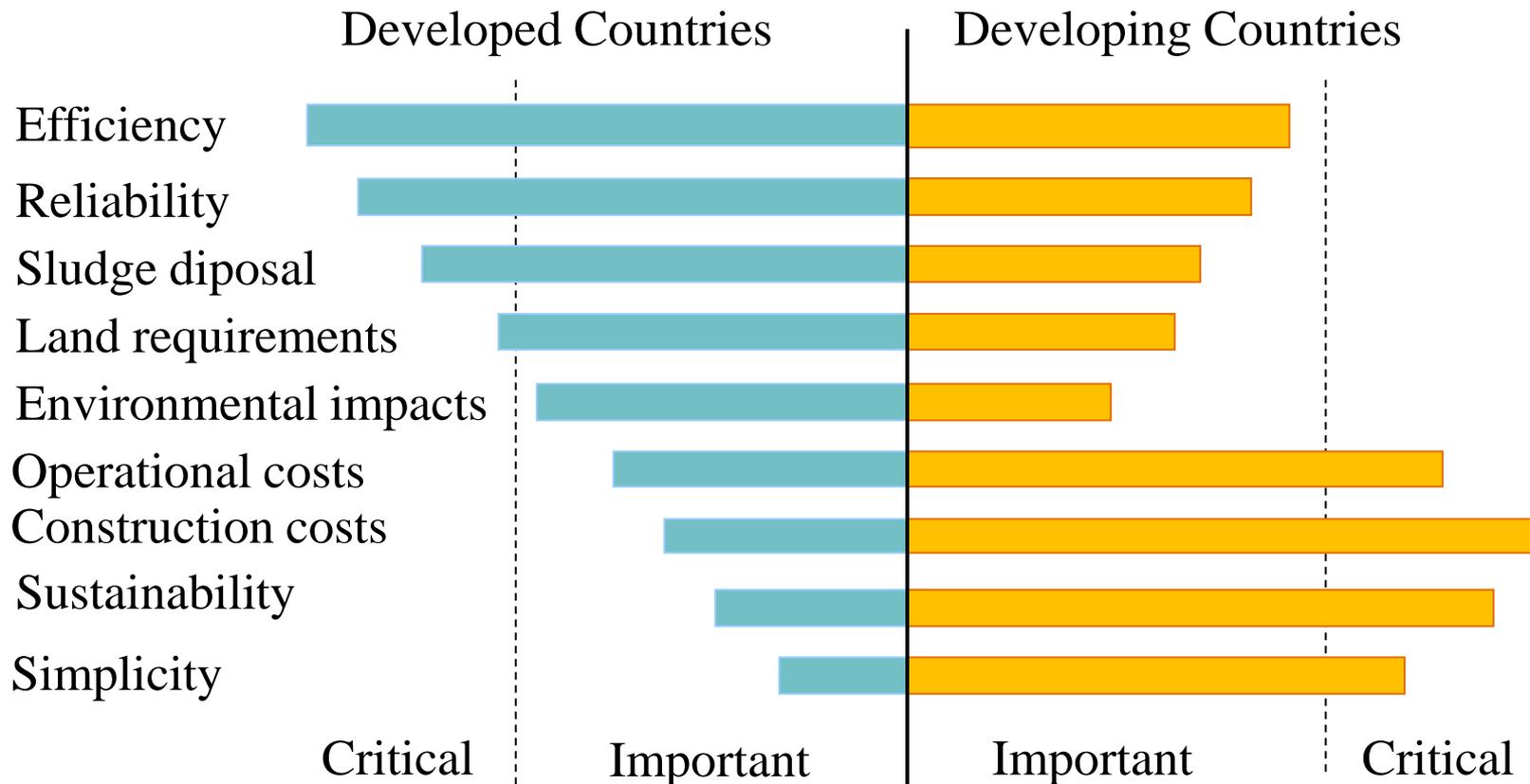
## **Social-cultural indicators:**

- 62 Awareness / participation
- 63 Competence / information requirements
- 64 Cultural acceptance
- 65 Institutional requirements
- 66 Local development
- 67 Responsibility
- 68 Expertise
- 69 Sustainable behavior
- 70 Labor
- 71 Future trends
- 72 User friendliness /System perception
- 73 Transparency
- 74 Willingness to pay
- 75 Convenience
- 76 Current legal acceptability
- 77 Willingness to change behaviour

MSc thesis 2007, WUR, Claudia Marcela Agudelo Vera, Development and Testing of a Multiple Criteria Framework for the Assessment of Urban Sanitation Systems



# Selection of wastewater treatment systems in developed and developing regions



von Sperling, 1996



# Relative evaluation of the main domestic sewage treatment systems by criteria and indicators

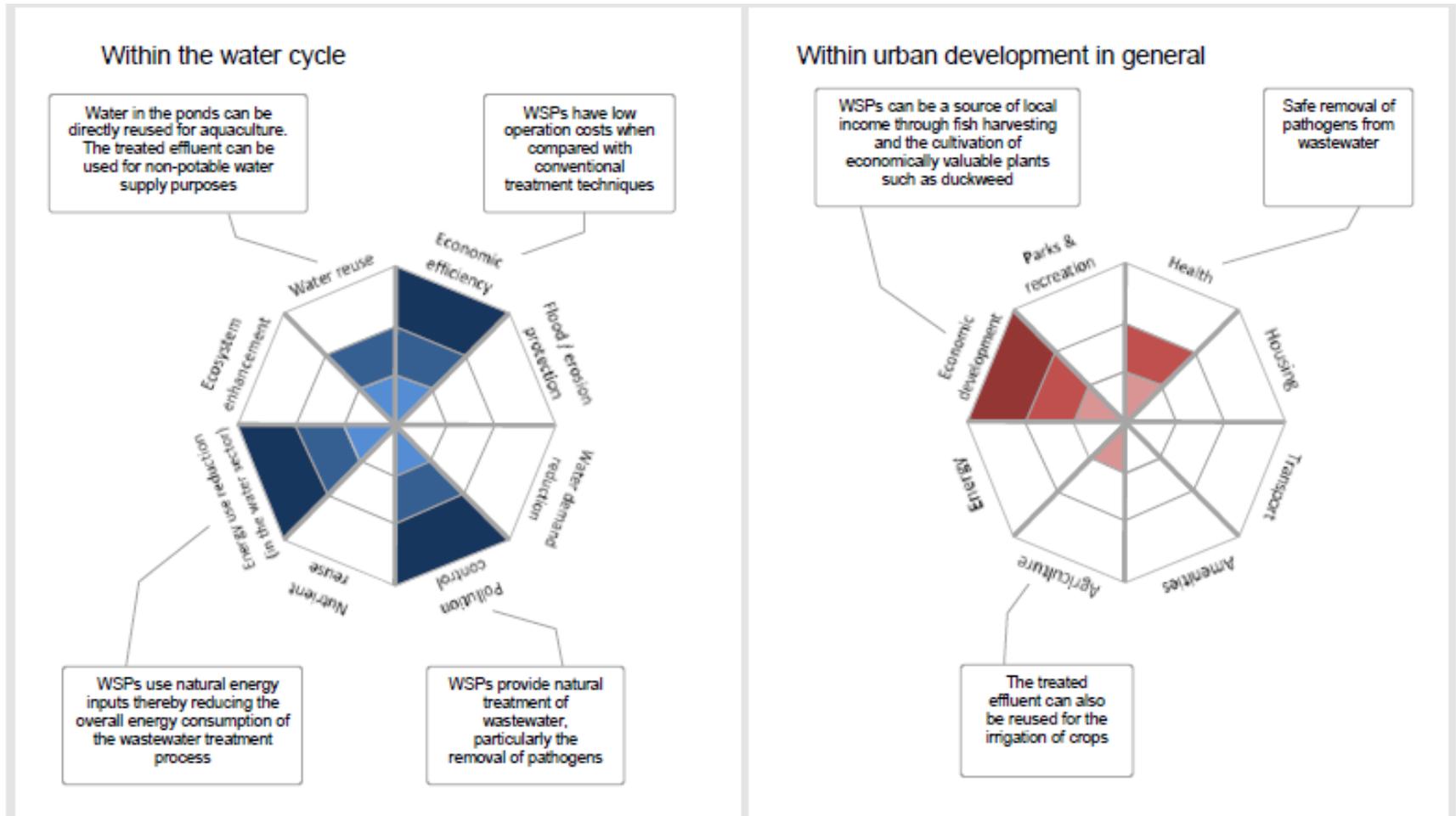
| Treatment system                     | Removal efficiency |           |           | Economy      |        |              |       |                   | Resistance capacity to inluent variations and shock loads |         |                 | Reliability | Simplicity in O & M | Independence of other characteristics for good performance |      | Lower possibility of environmental problems |       |          |                   |
|--------------------------------------|--------------------|-----------|-----------|--------------|--------|--------------|-------|-------------------|---|---------|-----------------|-------------|---------------------|--|------|---|-------|----------|-------------------|
|                                      | BOD                | Nutrients | Coliforms | Requirements |        | Costs        |       | Generation Sludge | Flow  | Quality | Toxic compounds |             |                     | Climate  | Soil | Bad odours                                  | Noise | Aerosols | Insects and worms |
|                                      |                    |           |           | Land         | Energy | Construction | O & M |                   |   |         |                 |             |                     |  |      |   |       |          |                   |
| Preliminary treatment                | 0                  | 0         | 0         | ++++         | ++++   | ++++         | ++++  | ++++              | ++++  | ++++    | ++++            | ++++        | +++                 | ++++   | ++++ | +   | +++   | ++++     | +++               |
| Primary treatment                    | +                  | +         | +         | ++++         | ++++   | ++++         | +++   | +++               | ++++  | ++++    | ++++            | ++++        | +++                 | ++++   | ++++ | ++  | +++   | ++++     | +++               |
| Advanced primary treatment           | ++                 | +/++++    | ++        | ++++         | ++++   | +++          | ++    | +                 | ++++  | ++++    | ++++            | ++++        | +++                 | ++++   | ++++ | +++   | +++   | ++++     | +++               |
| Facultative pond                     | +++                | ++        | +/++++    | +            | ++++   | +++          | ++++  | ++++              | ++++  | +++     | +++             | ++++        | ++++                | ++   | +++  | +++   | ++++  | ++++     | ++                |
| Anaerobic pond – facultative pond    | +++                | ++        | +/++++    | ++           | ++++   | +++          | ++++  | ++++              | ++++  | +++     | +++             | ++++        | ++++                | ++   | +++  | +   | ++++  | ++++     | ++                |
| Facultative aerated lagoon           | +++                | ++        | +/++++    | ++           | +++    | +++          | +++   | +++               | +++   | +++     | +++             | +++         | +++                 | +++  | +++  | +++   | +     | +        | +++               |
| Compl. mix aerated – sedim. pond     | +++                | ++        | +/++++    | +++          | +++    | +++          | +++   | +++               | +++   | +++     | +++             | +++         | +++                 | +++  | +++  | +++   | +     | +        | +++               |
| Pond – maturation pond               | +++                | +++       | ++++      | +            | ++++   | +++          | ++++  | ++++              | ++++  | +++     | +++             | +++         | ++++                | ++   | +++  | +++   | ++++  | ++++     | ++                |
| Pond –high rate pond                 | +++                | ++++      | ++++      | ++           | +++    | +++          | +++   | ++++              | ++++  | +++     | +++             | +++         | +++                 | +++  | +++  | +++   | ++    | ++       | +++               |
| Pond –algae removal                  | ++++               | ++        | +/++++    | ++           | ++++   | +++          | +++   | +++               | +++   | +++     | +++             | +++         | +++                 | +++  | +++  | +++   | ++++  | ++++     | ++                |
| Slow rate treatment                  | ++++               | +++       | +++       | +            | ++++   | +++          | +++   | +++               | +++   | +++     | +++             | +++         | +++                 | ++   | +    | ++  | ++++  | +/++++   | ++                |
| Rapid infiltration                   | ++++               | +++       | +++       | +            | ++++   | +++          | +++   | +++               | +++   | +++     | +++             | +++         | +++                 | ++   | +    | ++  | ++++  | ++++     | ++                |
| Overland flow                        | ++++               | +++       | +/+++     | +            | ++++   | +++          | +++   | +++               | +++   | +++     | +++             | +++         | ++++                | ++   | ++   | ++  | ++++  | +/++++   | ++                |
| Constructed wetlands                 | ++++               | ++        | +++       | +            | ++++   | +++          | +++   | +++               | +++   | +++     | +++             | +++         | ++++                | ++   | ++   | ++  | ++++  | ++++     | ++                |
| Septic tank – anaerobic filter       | +++                | +         | ++        | ++++         | ++++   | +++          | +++   | +++               | +++   | +++     | ++              | +++         | +++                 | ++   | ++++ | ++  | +++   | ++++     | +++               |
| UASB reactor                         | +++                | +         | ++        | ++++         | ++++   | +++          | +++   | +++               | ++  | ++      | ++              | +++         | +++                 | ++   | ++++ | ++  | +++   | ++++     | +++               |
| UASB reactor – post-treatment        | (a)                | (a)       | (a)       | (a)          | (a)    | (a)          | (a)   | (a)               | (b)   | (b)     | (b)             | (a)         | (a)                 | (a)  | (b)  | (a)   | (a)   | (a)      | (a)               |
| Conventional activated sludge        | ++++               | +/++++    | ++        | +++          | ++     | +            | ++    | +                 | +++   | +++     | ++              | +++         | +                   | +++  | ++++ | +++   | +     | +/++++   | +++               |
| Activated sludge (extended aeration) | ++++               | +/++++    | ++        | +++          | +      | ++           | +     | ++                | +++   | +++     | +++             | +++         | ++                  | +++  | ++++ | ++++  | +     | +/++++   | +++               |
| Trickling filter (low rate)          | ++++               | +/++++    | ++        | +++          | +++    | +            | +++   | ++                | +++   | ++      | ++              | +++         | +++                 | ++   | ++++ | +++   | +++   | +++      | ++                |
| Trickling filter (high rate)         | ++++               | +/+++     | ++        | +++          | +++    | ++           | +++   | +                 | +++   | +++     | +++             | +++         | +++                 | ++   | ++++ | +++   | +++   | +++      | +++               |
| Submerged aerated biofilter          | ++++               | +/+++     | ++        | ++++         | ++     | +++          | +     | +++               | +++   | +++     | +++             | +++         | ++                  | +++  | ++++ | ++++  | ++    | ++++     | +++               |
| Rotating biological contactor        | ++++               | +/+++     | ++        | +++          | +++    | +            | +++   | +                 | +++   | +++     | ++              | +++         | +++                 | ++   | ++++ | +++   | +++   | ++++     | +++               |

- Notes: the grading is only relative in each column and is not generalized for all the items. The grading can vary widely with the local conditions.
- +++++ : most favorable + : least favorable +, ++, +++: intermediate grades, in decreasing order 0 : zero effect + / +++++: variable with the type of process, equipment, variant or design.
- UASB reactor + post-treatment: (a) post-treatment characteristics prevail; (b) UASB reactor characteristics prevail O&M: operation and maintenance.

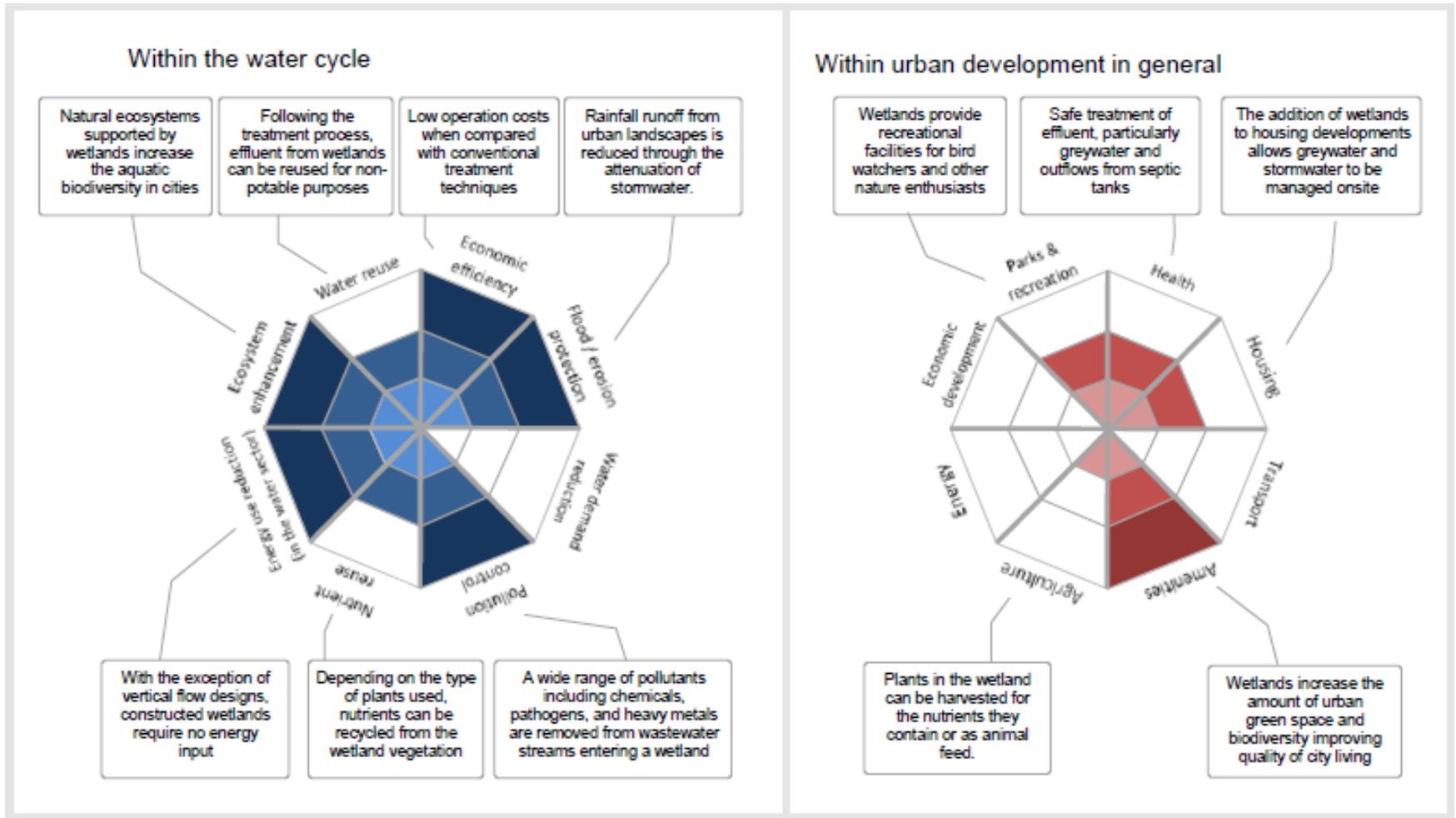
VON SPERLING, M., CHERNICHARO, C.A.L. (2005). Biological wastewater treatment in warm climate regions. IWA Publishing, 2005, ISBN 9781843390022

VON SPERLING, M. (1996). Comparison among the most frequently used systems for wastewater treatment in developing countries. Water Science and Technology, 33 (3). pp. 59-72

# Positive influences of WSPs on the urban water cycle and urban development

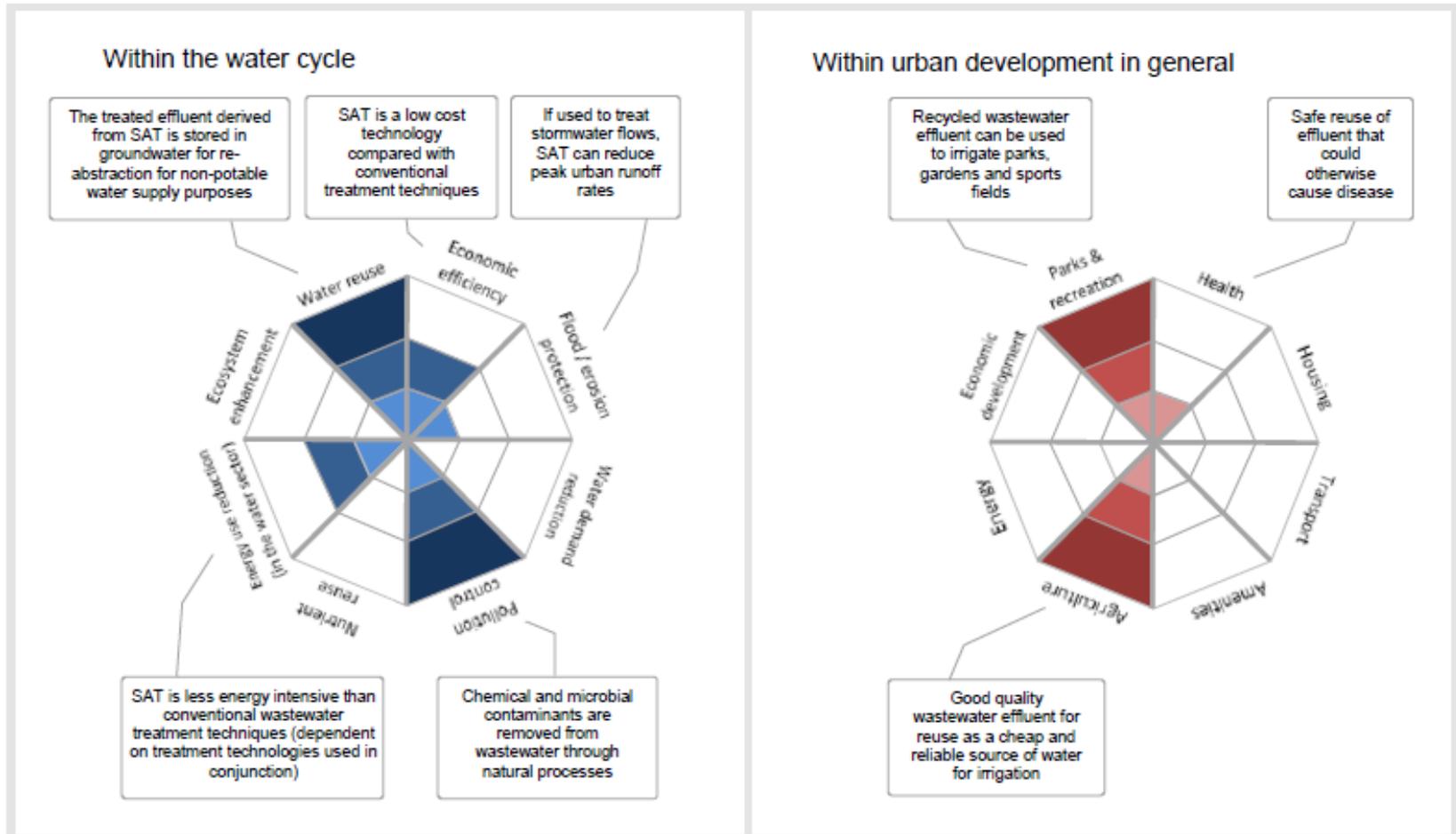


# Positive influences of constructed wetlands on the urban water cycle and urban development



<http://www.switchurbanwater.eu/>

# Positive influences of SAT on the urban water cycle and urban development



# Conclusion

- Availability of treatment technologies to be potentially applied for the treatment of urban wastewater is very large.
- Engineered systems can always meet standards when operated correctly (O&M).
- Are expensive in construction and O&M.
- Esp. suitable in concentrated urban areas.
- Natural systems are less reliable, but need less operators expertise .
- Land requirement is high cost factor.
- Criteria or weightings: local reality in focus: selection really leads to the most adequate system.
- Common sense and experience.